
Expert Profile – Paul Howman

Paul Howman is an extremely knowledgeable financial services executive risk and compliance specialist and trainer specialising in risk, compliance, wealth management and global capital markets with 15 years teaching experience at BPP Professional Education, Risk Reward Ltd based out of nearly 10 years' experience in specialist risk and compliance role in the City of London, UK.

Having worked in the financial markets after completing his grammar school education he had a various of risk and compliance roles starting as a Compliance Analyst with Virgin Money (1996) then as an IMRO Compliance Manager, at AMP/Pearl Unit Trusts, London (2001). With nearly 5 years' experience he then took responsibility as Compliance Regulatory Manager, BNP Paribas Securities Services (-2003) for several years. Paul had been training small groups within his employment role and sought to expand his professional education horizons and joined BPP Education where he became a financial services faculty member (2003-2017) working with hundreds of new graduates, middle- and senior managers throughout the City and foreign subsidiaries with UK based managers and staff requiring upskilling to UK regulations and international best practise.

With the sale of BPP Education and transformation as a university in 2017 Paul went back into practise taking responsibility as a Risk Manager with BGL/Comparethemarket.com (-2019). His passion for training was realised again at the conclusion of his contract.

Paul's training portfolio closely follows the professional qualifications and certifications exam preparation for those seeking to enter the financial services and or to maintain their license and roles deemed necessary by regulation. Paul's areas of expertise and training expertise are focused as below:

Risk & Compliance

1. An Introduction to Financial Services Regulation
2. CISI Risk in Financial Services & Operational Risk Management
3. Financial Services Regulation: An update and refresher
4. CISI Regulation & Compliance Diploma
5. CISI UK Financial Regulation, Global Financial Compliance & Combating Financial Crime
6. IRM International Certificate in Financial Services Risk Management

Investment Management

1. CFA Level 1
2. Investment Management Certificate (IMC Unit 1 & Unit 2)
3. CISI Private Client Investment Advice & Management Diploma (PCIAM)
4. CISI Investment Advice Diploma
 - UK Regulation & Professional Integrity
 - Investment Risk & Taxation
 - Securities & Derivatives

Capital Markets & Corporate Finance

1. CISI Capital Markets Programme
 - a. Securities & Global Securities
 - b. Derivatives & Financial Derivatives
2. CISI Certificate in Corporate Finance



a. Regulation & Technical Foundations

Operations

1. CISI Asset Servicing
2. CISI Collective Investment Schemes Administration
3. CISI Global Securities Operations

His has earned a series of UK **CISI** (Chartered Institute of Securities and Investments), **CFA** and **CII** (Chartered Insurance Institute) qualifications and certifications by examinations:

- CISI Diploma: Regulation & Compliance and Private Client Investment Advice & Management (PCIAM)
- CFA Level 1
- CISI: L4 Investment Advice Diploma. Passes in UK Regulation & Professional Integrity, Securities and Investment Risk & Tax
- CISI L3: Certificates. Passes in UK Financial Regulation, Securities, Derivatives & Corporate Finance Units 1 & 2
- CFA UK: Investment Management Certificate (IMC)
- CISI: Investment Administration Qualification (IAQ) Merit Award
- CII: Financial Planning Certificate: Papers 1, 2 & 3

Paul received his formal education at The Thetford Grammar School, Norfolk, UK (1986-1996).

He has been a well-respected and greatly admired trainer working with senior managers and executives worldwide with Risk Reward since 2016.

