

Expert Profile: Mark Peter Taylor

A career Compliance and Risk Officer, Corporate Governance and Data Protection professional with over 25 years' experience in the finance industry. International perspective gained from senior management positions held with HSBC, Standard Bank and Lloyds Banking Group.

An accomplished professional who enjoys developing and presenting thought-provoking programmes to boards of directors, senior management teams and governance forums.

Mark has extensive experience of developing training solutions within various sectors including financial services. He has developed an international perspective from senior management positions held with HSBC, Standard Bank and Lloyds Banking Group.

Having led and supported compliance teams across different jurisdictions, he understands the challenges faced when managing regulatory risks – he enjoys a wide variety of hands-on governance and remediation experience.

An efficient and collaborative individual with excellent communication skills who nurtures relationships across all levels of an organisation.

Relevant Experience

Training

- Delivered various training programmes to boards and senior management in different jurisdictions across the globe. Many of the programmes tended to focus on 'big picture' trends, practical considerations and regulatory expectations.
- Led training related teams (including education, technical development and events) and developed tactical solutions in response to risk and remediation priorities.
- Led advanced leadership programmes which targeted senior compliance managers from a global talent pool. The courses equipped the participants with practical technical and interpersonal skills directly relevant to their roles.
- Led the development and design of core training modules for internal compliance staff. The training focussed on the technical competencies required to oversee regulatory risks (non-financial) and included case studies, lessons learnt scenarios and insights from Special Matter Experts.
- Prepared and presented proposals and post training assessments to internal governance forums with the aim of achieving a minimum standard of technical knowledge for staff in the Compliance function.

Special Matter Expertise

- Valuable experience in a range of subjects including Corporate Governance, SMCR, Data Privacy, Financial Crime prevention, Anti-Bribery and Corruption, Conflicts, Conduct, Cross Border disciplines, Training Competency frameworks and Cyber risk management.



- Hands-on boardroom experience as Mark held senior Company Secretary positions within public companies and acted as an NED.

Career Summary

Oct 2019- June 2022 International Compliance Association, Wilmington pls UK

Product Director (Training) Responsible for the development and delivery of compliance training and examination-based qualifications issued by the global ICA.

2015 - 2019

Education Head, Regulatory Compliance, HSBC Holdings plc

Responsible for defining the Education and development strategy for management and employees within the Regulatory Compliance function in conjunction with the Global Head of RC Education. Responsible for identifying and prioritising training needs and determining which education solution(s) most appropriately meet those needs.

2013 - 2015 (London)

Regional Head of Regulatory Compliance, Global Functions, Europe, HSBC Holdings plc

Responsible for the development of a newly established regional team - provided advice, guidance and support to senior management within Global Functions and HSBC Operational Services.

2012 - 2013 (London)

Secretary, International, HSBC Bank plc (*Contract role*)

Provided senior management with support on corporate governance, statutory and regulatory matters applicable to Group companies. Acted as the Function's Business Risk Control Manager for the European Region and commenced role out of a new Assurance framework.

2012 - Freelance Trainer, BPP Professional Education (London)

Training modules developed and delivered on a range of subjects including Data Privacy, Corporate Actions and Anti-Bribery and Corruption.

2010-2012 (London)

Head of Secretariat, Wholesale Division, Lloyds Banking Group

Responsible for a team in London, Edinburgh, Chester and Jersey. Provided support to Directors of Group subsidiaries, joint ventures and investment vehicles including regulated entities.

2006-2010 (Jersey)

Head of Compliance and Money Laundering Control Officer, Standard Bank Offshore Group

Responsible for a team of 11 based in Jersey, Isle of Man and Mauritius. Provided regulatory advisory and support services to the entire Offshore business. The business was complex and included private and retail banking, a fiduciary business, asset management, stockbroking, funds and a custodian function. Chaired Compliance Committee.

2003-2006 (Jersey)

Head of Compliance, HSBC International Bank Limited

Responsible for a team of 9 based in Jersey, Guernsey and the Isle of Man. The team provided support to the International business with focus on regulatory compliance, cross border disciplines and data protection.



Responsible for overseeing internal and external reporting (non-financial) and directing the Regulatory Compliance Committee. Member of the Executive Committee.

1999-2003 (Hong Kong)

Senior Manager Compliance, HSBC

Managed compliance reviews across Asia Pacific Region and helped maintain regulatory relationships. Provided compliance training including new developments related to e-commerce.

1993-1999 (Hong Kong)

Deputy Secretary, Hongkong & Shanghai Banking Corporation, Hong Kong

Responsible for overseeing the day-to-day running of the HK Branch Register, assisted with Stock Exchange compliance. Secretary to various subsidiary companies and joint ventures. Appointed director of certain associated companies.

Professional Qualifications

- Fellow, International Compliance Association
- Fellow, Institute of Chartered Secretaries and Administrators

Recent Previous Appointments

Non-Executive Director of REAch2 Kent Academy Trust (2015-2017)

Technical Advisory Board – International Compliance Association (2017-2019)

