



ACCREDITED TRAINING PROVIDER

Understanding Derivatives in Capital Markets & Investment Strategies

A 3-Day Training Event London, UK

Derivatives are tradable products whose values are based upon other underlying variables and assets. In recent years, derivative security has become increasingly important in the finance field.

This 3-day intensive course covers derivatives and the markets which underlie them, regulation and practices framing their real world uses, for example, creating hedge exposures and investment strategies. Case studies will be focus of current derivative structures and how they can interact with other products.

An experienced industry practitioner will take delegates through both exchange traded and over the counter derivatives as well as exploring the risks and benefits involved.

Course Objectives

Participants completing this course will know, understand, be able to calculate and apply principles, rules, formulae relating to

- Derivatives products
- Underlying Markets
- Exchange Traded Futures and Options
- Principles of Exchange-Traded Futures and Options Principles of OTC Derivatives
- Principles of Clearing
- Delivery and Settlement
- Trading, Hedging and Investment Strategies
- Regulatory Requirements

Who should attend?

- Advisors & dealers in financial derivatives markets or commodities.
- Anyone interested in benefiting from an essential knowledge of derivatives.
- CISI Candidates for the Capital Markets Programme and/or Investment Operations Certificate.

Our unique 3-day classroom training course features:

- Up-to-date and industry relevant case studies
- In-depth analysis of course topics
- Smaller class sizes which focus more on personal attention & expert-delegate interaction
- An experienced, expert practitioner-trainer with real industry track-record & available for delegate Q&A for up to 90 days to help in exam preparation following the course.

Methodology

The expert trainer will use slides, case studies, exercises and lead workshop-style group discussion to engage the delegates in practical learning and understanding. The trainer remains available to delegates for Q&A related to the course topic for 90 days following the course dates.

Advanced Preparation: *None*

Training Type: *Live, in-person, classroom*

Learning Level 3:

Intermediate

Field of Study: *Capital Markets*

This training course is scheduled for:

London UK, 3 days

March 25 - 27, 2019

July 8 - 10, 2019

November 18 - 20, 2019

The price per delegate for this 3-day programme is £3,145

(+ UK VAT when applicable)

1:1 training courses available at 2x per delegate price!

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

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Understanding Derivatives in Capital Markets & Investment Strategies

Detailed Course Outline

Session 1 Introduction to Derivatives

- 1.1 Overview

Session 2 Underlying Markets

- 2.1 Government Debt/Corporate Debt
- 2.2 Foreign Exchange Contracts
- 2.3 Money Market Contracts
- 2.4 Equity Markets
- 2.5 Softs and Agriculturals
- 2.6 Base and Precious Metals
- 2.7 Energy
- 2.8 Exotics

Session 3 Exchange Traded Futures and Options

- 3.1 Exchanges
- 3.2 Trading Platforms
- 3.3 Clearing Mechanisms

Session 4 Principles of Exchange-Traded Futures and Options

- 4.1 Futures Pricing
- 4.2 Options Pricing
- 4.3 Order / Instruction Flow and Order Type
- 4.4 Trade Registration

Session 5 Principles of OTC Derivatives

- 5.1 Concepts and Characteristics
- 5.2 ISDA Documentation
- 5.3 Forwards and Swaps
- 5.4 Credit Default Swaps
- 5.5 Other Swap types
- 5.6 Inflation swaps and Structured Products
- 5.7 Options
- 5.8 Market Transparency, Trade Reporting and Monitoring
- 5.9 Market Platforms and Trade Processing
- 5.10 Settlement and Processing of OTC contracts

- 5.11 OTC Collateral Processes

Session 6 Principles of Clearing

- 6.1 Definition and Purpose of Clearing
- 6.2 Position and Price Limits
- 6.3 The Principles of Margin
- 6.4 Collateral/Credit

Session 7 Delivery and Settlement

- 7.1 Aspects of Delivery
- 7.2 Exercising Options

Session 8 Trading, Hedging and Investment Strategies

- 8.1 Derivative Users
- 8.2 Futures Spread Trading
- 8.3 Options Strategies
- 8.4 Basics of Hedging (Futures)
- 8.5 Basics of Hedging (Options)
- 8.6 Comparison of Exchange-Traded and OTC Hedges
- 8.7 Applications of Derivative Strategies

Session 9 Regulatory Requirements

- 9.1 Scope of Regulation
- 9.2 Rules Based versus Principles Based Regulation
- 9.3 US – Principal Differences Between EU and US Regulations
- 9.4 International Accounting Standards
- 9.5 Merger and Acquisition Activities

Course schedule:

Full day classroom training
09:30 – 17:00



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RISK REWARD
GLOBAL BANKING & FINANCIAL SERVICES EXPERTS

Understanding Derivatives in Capital Markets & Investment Strategies

Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

March 25 – 27, 2019

July 8 – 10, 2019

November 18 – 20, 2019

Course Fee (per person):

GBP £3,145 (+UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

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Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of 20%. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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