



ACCREDITED TRAINING PROVIDER

Risk in Financial Services

This comprehensive introductory qualification addresses the key techniques in identifying, reducing and managing certain risk areas in Financial Services sector with example to operational risk, credit risk, market risk, investment risk and liquidity risk with an international perspective.

Course Objectives

The purpose of this three day course is to equip delegates with the necessary knowledge and exam techniques to prepare them for the exam for this qualification.

Who should attend?

This qualification is appropriate for Risk and Compliance teams, Branch Management, Corporate Lawyers, Finance Officers, Senior Managers of all disciplines and existing and aspiring Non-Executive Directors.

Our unique 3 day classroom led training course includes:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies
- Sample practice paper
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

Progressive study pathways:

- To achieve the full stand alone **Level 3 Certificate in Risk in Financial Services** combine this qualification with a local Regulatory paper (please see the final page for options).
- To achieve the CISI **Investment Operations Certificate (IOC)** combine any three Technical, Regulatory or Introductory modules (please see the final page for options)
- To achieve the **Level 6 CISI Diploma in Investment Compliance** combine this qualification with Level 4 UK Regulations & Professional Integrity and the Level 6 Regulation & Compliance.



Course Director:

Dennis Cox is a leading financial services risk

management and internal audit specialist serving banks, regulators and financial institutions globally, with a carrier encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis specialises his risk advisory services and lectures on the balance of risk management and the modernisation of internal audit and the auditing of compliance risk areas. He also addresses risk management as a driver for organisational change in banking, and risk-based bank internal audit. He is a specialist in risk and audit for bank departments and functions including credit, treasury, operations and accountancy issues related to the Basel Accord and the dynamics of changing regulation and business banking competitiveness

Advanced Preparation: None

Training Type: Classroom

Learning Level: Introduction

Field of Study: Risk Mgt

This training course is scheduled for:

Dubai UAE, 3 days

April 10 – 12, 2017

November 13 – 15, 2017

The price per delegate for this 3-day programme is \$1,995

A 10% discount is available for returning candidates booking training courses along the Risk in Financial Services Progressive study pathway

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

Risk Reward Ltd 47C Limeharbour, 2nd Floor, London E14 9TS, UK

Tel: +44 (0)20 7638 5558

CISI@riskrewardlimited.com

UK Companies House # 434 6234.



ACCREDITED TRAINING PROVIDER



Risk in Financial Services

Detailed Course Outline

Session 1: Principles of the Risk Management

- Introduction to Risk in Business
- Specific Risks in Financial Services

Session 2: International Risk Regulation

- The Bank for International Settlements
- Basel Regulatory Capital and Operational Risk Requirements
- Principles-Based Regulation
- Other Relevant Regulations

Session 3: Operational Risk

- Definitions of Operational Risk
- Operational Risk Policy
- Operational Risk Framework
- Operational Risk Identification
- Operational Risk Assessment and Measurement
- Managing Operational Risk

Session 4: Credit Risk

- Identification of Credit Risk
- Credit Risk Measurement
- Credit Risk Management

Session 5: Market Risk

- Identification of Market Risk
- Market Risk Management

Session 6: Investment Bank

- The Measurement of Investment Returns
- Identification and Measurement of Investment Risk

Session 7: Principles of the Risk Management

- Identification of Liquidity Risk
- Measurement of Liquidity Risk
- Management of Liquidity Risk

Session 8: Corporate Governance and Risk Oversight

- Internal Corporate Governance
- Culture and Leadership
- Risk Governance within Financial Services Organisations

Session 9: Model Risk

- Overview of Model Risk

Session 10: Enterprise Risk Management (ERM)

- Overview of Enterprise Risk Management (ERM)

Course schedule:

Full day classroom training

09:30 – 17:00

AM session 09:30 – 12:30

PM session 13:30 – 17:00



ACCREDITED TRAINING PROVIDER



Risk in Financial Services (Dubai)

Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

April 10 – 12, 2017

November 13 – 15, 2017

Course Fee (per person):

USD \$1,995

Email*

First name*

Last name*

Job title / Position

Department

Company Name

Company Address

City

Postcode

Country*

Telephone (direct)*

Telephone (main)

Approving Manager

Training Manager

Payment Details

Invoice Me

BACS

Credit Card



Please tick that box if you don't want to be subscribing to the Global Risk Update magazine.

Data Privacy & Update of Contact Details Risk Reward Limited is fully compliant with the Data Protection Act. The information you provide will be safeguarded by Risk Reward Ltd. We do not rent, sell or exchange your details to anyone without your consent. Your details are never given to third parties. If you wish to update your details, please email: info@riskrewardlimited.com with your OLD and NEW details. Please allow 10 days to see the changes take effect. Thank you.

Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of £150. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

Copyright © 2002-2017 All rights reserved. Risk Reward Limited reserves the right to amend the course fees, terms, course agenda, speaker or venue should the need arise. All public courses are subject to demand.

Signature

Date

Risk Reward Ltd 47C Limeharbour, 2nd Floor, London E14 9TS, UK

Tel: +44 (0)20 7638 5558

CISI@riskrewardlimited.com

www.riskrewardlimited.com



ACCREDITED TRAINING PROVIDER

Investment Operations Certificate (IOC)

Progressive study pathways options

For international candidates the IOC is achieved by passing any three of the following units*;

(* please note that the following list only contains the IOC courses that Risk Reward offers and not the complete selection, for the full list please visit www.cisi.org)

Introductory

- **International Introduction to Securities and Investment**

Regulatory

- **A Local Regulatory Paper**
 - **UAE Financial Regulation**
 - **Dubai International Centre Rules and Regulations**
 - **Oman Securities Market Regulation**
 - **Qatar Financial Centre Rules and Regulations**
 - **Palestine Securities Sector Rules and Regulations**
 - **UK Financial Regulation**

Technical

- **Risk in Financial Services**
- **Global Financial Compliance**
- **Combating Financial Crime**
- **Managing Cyber Security**
- **Operational Risk**