



ACCREDITED TRAINING PROVIDER

Risk in Financial Services

A 3-day Training Event

Effective enterprise risk management is at the heart of the success of any firm. Building this into a consistent framework that adds value and is embedded within the business is a challenge that needs to be addressed. Increasingly regulators and senior management are seeking to rely on an effective risk management function to deliver solutions that provide them with the confidence that they require.

Different management approaches to credit, market/ investment, liquidity and operational risk create challenges that need to be addressed. Using risk appetite as the driver this course will provide you with the information that you need to build an effective risk management framework in an institution.

With a focus for risk, compliance and allied professionals this course offers a non-mathematical journey through the key risks that arise within financial services, covering business risk, corporate governance, regulation, risk management (operational, credit, market, investment and liquidity risks) combined with specific techniques used in identifying, reducing and managing risk in each area.

Course Objectives

Developed by Risk Reward's leading risk experts for the globally recognised **Chartered Institute for Securities and Investment's RiFS** qualification, this essential course offers a comprehensive overview of the major risk areas in the financial services sector. It addresses international issues, reflecting the needs of a worldwide market, and provides a sound grounding in the principles of the risk management framework, corporate governance and risk oversight.

Who should attend?

This qualification is appropriate for Risk and Compliance teams, Branch Management, Corporate Lawyers, Finance Officers, Senior Managers of all disciplines and existing and aspiring Non-Executive Directors.

Our unique 3-day classroom training course features:

- Up-to-date relevant industry examples and illustrations
- In-depth analysis of course topics
- Smaller class sizes which focus more on personal attention & expert-delegate interaction
- An experienced, expert practitioner-trainer with real industry track-record & available for delegate Q&A for up to 90 days to help in exam preparation following the course.

Methodology

The expert trainer will use slides, case studies, exercises and lead workshop-style group discussion to engage the delegates in practical learning and understanding. The trainer remains available to delegates for Q&A related to the course topic for 90 days following the course dates.

Advanced Preparation: *None*

Training Type: *Classroom*

Learning Level: *Introduction*

Field of Study: *Risk Management*

This training course is scheduled for:

London UK, 3 days

June 24 - 26, 2019

November 11 - 13, 2019

The price per delegate for this 3-day programme is £3,145

(+ UK VAT when applicable)

1:1 training courses available at 2x per delegate price!

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

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Risk in Financial Services

Course Outline

Session 1: Principles of the Risk Management

- Introduction to Risk in Business
- Specific Risks in Financial Services

Session 2: International Risk Regulation

- The Bank for International Settlements
- Basel Regulatory Capital and Operational Risk Requirements
- Principles-Based Regulation
- Other Relevant Regulations

Session 3: Operational Risk

- Definitions of Operational Risk
- Operational Risk Policy
- Operational Risk Framework
- Operational Risk Identification
- Operational Risk Assessment and Measurement
- Managing Operational Risk

Session 4: Credit Risk

- Identification of Credit Risk
- Credit Risk Measurement
- Credit Risk Management

Session 5: Market Risk

- Identification of Market Risk
- Market Risk Management

Session 6: Investment Bank

- The Measurement of Investment Returns
- Identification and Measurement of Investment Risk

Session 7: Principles of the Risk Management

- Identification of Liquidity Risk
- Measurement of Liquidity Risk
- Management of Liquidity Risk

Session 8: Corporate Governance and Risk Oversight

- Internal Corporate Governance
- Culture and Leadership
- Risk Governance within Financial Services Organisations

Session 9: Model Risk

- Overview of Model Risk

Session 10: Enterprise Risk Management (ERM)

- Overview of Enterprise Risk Management (ERM)

Course schedule:

Full day classroom training
09:30 – 17:00



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RISK REWARD
GLOBAL BANKING & FINANCIAL SERVICES EXPERTS

Risk in Financial Services (London)

Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

June 24 - 26, 2019

November 11 - 13, 2019

Course Fee (per person):

GBP £3,150 (+ UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

Please tick that box if you don't want to be subscribing to the Global Risk Update magazine.

Data Privacy & Update of Contact Details Risk Reward Limited is fully compliant with the Data Protection Act. The information you provide will be safeguarded by Risk Reward Ltd. We do not rent, sell or exchange your details to anyone without your consent. Your details are never given to third parties. If you wish to update your details, please email: info@riskrewardlimited.com with your OLD and NEW details. Please allow 10 days to see the changes take effect. Thank you.

Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of 20%. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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CISI Certificate & Diploma Candidates

Please register, purchase your CISI workbook and online learning tools, and arrange to sit the exam at a testing centre directly with the professional body via www.CISI.org.



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RISK REWARD
GLOBAL BANKING & FINANCIAL SERVICES EXPERTS

Investment Operations Certificate (IOC)

Progressive study pathways options

For UK Candidates the IOC is achieved by passing any three of the following units*;

(* please note that the following list only contains the IOC courses that Risk Reward offers and not the complete selection, for the full list please visit www.cisi.org)

Introductory

- International Introduction to Securities and Investment

Regulatory

- UK Financial Regulation

Technical

- Risk in Financial Services
- Global Financial Compliance
- Combating Financial Crime
- Managing Cyber Security
- Operational Risk