



ACCREDITED TRAINING PROVIDER

Diploma in Capital Markets: Fund Management

The Diploma in Capital Markets is the final step in the CISI's Capital Markets pathway allowing candidates the opportunity to specialise in one of three areas; Fund Management, Bonds & Fixed Interest Markets, or Financial Derivatives. The Fund Management qualification focuses on the theory and practice of institutional investment and equips candidates to undertake the management of funds for institutional clients.

Course Objectives

The purpose of this four day course is to take candidates through the Fund Management syllabus focusing on course content as well as on the exam preparation.

Who should attend?

This qualification is appropriate for experienced practitioners working in the management of institutional funds.

Our unique 4 day classroom led training course features:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies
- In-depth analysis of course topics
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

Progressive study pathways and prerequisites:

- We strongly advise candidates to have completed at least the **Level 3 Capital Markets Programme** before attempting the Level 6 Diploma in Capital Markets.
- To achieve the **Level 6 CISI Diploma in Capital Markets** combine this qualification with the **Level 6 Financial Markets** and a choice of either **Level 3 Securities** or **Level 3 Derivatives**.
- Given the quantitative nature of this Fund Management qualification we advise that candidates have some exposure to Financial Mathematics before taking this courses
- Please note that it will generally take candidates between 18 months to 2 years to complete this Diploma.



Course Director:

Dennis Cox is a leading financial services risk

management and internal audit specialist serving banks, regulators and financial institutions globally, with a career encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis specialises his risk advisory services and lectures on the balance of risk management and the modernisation of internal audit and the auditing of compliance risk areas. He also addresses risk management as a driver for organisational change in banking, and risk-based bank internal audit. He is a specialist in risk and audit for bank departments and functions including credit, treasury, operations and accountancy issues related to the Basel Accord and the dynamics of changing regulation and business banking competitiveness

Advanced Preparation: Yes

Training Type: Classroom

Learning Level: Advanced

Field of Study: Capital markets

This training course is scheduled for:

London UK, 4 days

March 13 – 16, 2017

September 25 – 28, 2017

The price per delegate for this 4-day programme is £2,495

(+ UK VAT when applicable)

A 10% discount is available for returning candidates booking training courses along the Fund Management Progressive study pathway and the two day exam prep course.

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

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Fund Management

Detailed Course Outline

Session 1: Types of Mandates

- Pension Funds
- Insurance companies
- Unit Trusts
- Open Ended Investment Companies (OEICs)
- UCITS
- Investment Trusts
- Charities
- Hedge funds

Session 2: Obligations of Investment Management Groups

- Management of Funds
- Conflicts of Interest
- Obligations of Institutional Fund Managers as Individuals

Session 3: Portfolio Construction and Management

- Knowledge and understanding of the nature and characteristics, of the following assets:
 - Supra national, sovereign and corporate debt
 - Equities
 - Property Derivatives, including options, forwards, futures, swaps, Contracts for Difference (CFD) and credit derivatives.
 - Cash including currencies
 - Other (e.g. commodities)
 - Hedge Funds

Session 4: Theory of Portfolio Management

- Asset Allocation
- Stock Selection
- Derivatives

Session 5: The Role of the Fund Manager

- Active management
- Passive management
- Combining active and passive management
- Balanced management
- Specialist management
- Multi manager - separation of asset allocation and stock selection;
- Socially Responsible Investment
- Corporate Governance

Session 6: Portfolio Performance Measurement

- Performance Measurement
- Uses of Performance Figures

Course schedule:

Full day classroom training
09:30 – 17:00
AM session 09:30 – 12:30
PM session 13:30 – 17:00



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Fund Management (London) Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

March 13 – 16, 2017

September 25 – 28, 2017

Course Fee (per person):

GBP £2,495 (+ UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

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Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of £150. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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