



ACCREDITED TRAINING PROVIDER

Fund Management

The Diploma in Capital Markets is the final step in the CISI's Capital Markets pathway allowing candidates the opportunity to specialise in one of three areas; Fund Management, Bonds & Fixed Interest Markets, or Financial Derivatives. The Fund Management qualification focuses on the theory and practice of institutional investment and equips candidates to undertake the management of funds for institutional clients.

Course Objectives

The purpose of this four day course is to take candidates through the Fund Management syllabus focusing on course content as well as on the exam preparation.

Who should attend?

This qualification is appropriate for experienced practitioners working in the management of institutional funds.

Our unique 4 day classroom led training course features:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies
- In-depth analysis of course topics
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

Progressive study pathways and prerequisites:

- We strongly advise candidates to have completed at least the **Level 3 Capital Markets Programme** before attempting the Level 6 Diploma in Capital Markets.
- To achieve the **Level 6 CISI Diploma in Capital Markets** combine this qualification with the **Level 6 Financial Markets** and a choice of either **Level 3 Securities** or **Level 3 Derivatives**.
- Given the quantitative nature of this Fund Management qualification we advice that candidates have some exposure to Financial Mathematics before taking this courses
- Please note that it will generally take candidates between 18 months to 2 years to complete this Diploma.



Course Director: Dennis Cox is a leading financial services risk management

specialist serving banks, regulators and financial institutions globally, with a career encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis has in-depth knowledge, expertise and practical know-how of Risk management, Bank Internal Audit, Compliance & Financial Crime/Cyber Security in addition to Wealth Investment Management, Financial Markets (Bonds, Securities), Asset & Fund Management, and UK, USA & international Regulation.

He sees risk management as a driver for organizational change in banking, and provides senior level risk advice to major financial institutions globally. His published works on Risk Management, Audit and Financial Crime Deterrence are considered industry standards.

Advanced Preparation: Yes

Training Type: Classroom

Learning Level: Advanced

Field of Study: Capital markets

This training course is scheduled for:

London UK, 4 days

March 19 – 22, 2018

September 10 – 13, 2018

The price per delegate for this 4-day programme is £2,495

(+ UK VAT when applicable)

1:1 training courses available at 2x per delegate price!

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

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Detailed Course Outline

Session 1: Types of Mandates

- Pension Funds
- Insurance companies
- Unit Trusts
- Open Ended Investment Companies (OEICs)
- UCITS
- Investment Trusts
- Charities
- Hedge funds

Session 2: Obligations of Investment Management Groups

- Management of Funds
- Conflicts of Interest
- Obligations of Institutional Fund Managers as Individuals

Session 3: Portfolio Construction and Management

- Knowledge and understanding of the nature and characteristics, of the following assets:
 - Supra national, sovereign and corporate debt
 - Equities
 - Property Derivatives, including options, forwards, futures, swaps, Contracts for Difference (CFD) and credit derivatives.
 - Cash including currencies
 - Other (e.g. commodities)
 - Hedge Funds

Session 4: Theory of Portfolio Management

- Asset Allocation
- Stock Selection
- Derivatives

Session 5: The Role of the Fund Manager

- Active management
- Passive management
- Combining active and passive management
- Balanced management
- Specialist management
- Multi manager - separation of asset allocation and stock selection;
- Socially Responsible Investment
- Corporate Governance

Session 6: Portfolio Performance Measurement

- Performance Measurement
- Uses of Performance Figures

Course schedule:

Full day classroom training
09:30 – 17:00



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Fund Management (London) Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

March 19 – 22, 2018

September 10 – 13, 2018

Course Fee (per person):

GBP £2,495 (+ UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

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Signature

Date

Examination policy:
You will need to book their examination and register for their membership directly through the CISI.
Candidates who are attending the training are advised to purchase the workbook from CISI.