



ACCREDITED TRAINING PROVIDER

Derivatives

This training course provides an introduction to derivatives and the markets which underlie them, framing their real world uses for example creating hedge exposures and investment strategies. Examples will be given of current derivative structures and how they can interact with other products. The qualification will cover both exchange traded and over the counter derivatives and explore the risks involved as well as the benefits

Course Objectives

The purpose of this three day course is to equip delegates with the necessary knowledge and exam techniques to prepare them for the exam for this qualification.

Who should attend?

This qualification is appropriate for individuals working in the derivatives markets to advice or deal, within either the financial derivatives market or commodities.

Our unique 3 day classroom led training course features:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies and a sample practice paper
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

Progressive study pathways and prerequisites:

- UK exam candidates for the Capital Markets Programme must have obtained a pass in **Integrity Matters**, an online integrity test from the CISI before sitting the exam to this qualification.
- To achieve the **Capital Markets Programme** combine this qualification with UK Financial Regulation
- To achieve the **Level 6 CISI Diploma in Capital Markets** combine this qualification with the Level 6 Financial Markets and a choice of one of three following units; Bonds and Fixed Interest Markets, Financial Derivatives and Fund Management.



Course Director: Dennis Cox is a leading financial services risk management

specialist serving banks, regulators and financial institutions globally, with a career encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis has in-depth knowledge, expertise and practical know-how of Risk management, Bank Internal Audit, Compliance & Financial Crime/Cyber Security in addition to Wealth Investment Management, Financial Markets (Bonds, Securities), Asset & Fund Management, and UK, USA & international Regulation.

He sees risk management as a driver for organizational change in banking, and provides senior level risk advice to major financial institutions globally. His published works on Risk Management, Audit and Financial Crime Deterrence are considered industry standards.

Advanced Preparation: None
Training Type: Classroom
Learning Level: Introduction
Field of Study: Capital markets

This training course is scheduled for:

London UK, 3 days
February 12 - 14, 2018
July 9 - 11, 2018

The price per delegate for this 3-day programme is £1,395
(+ UK VAT when applicable)

1:1 training courses available at 2x per delegate price!

For an in-house training option, alternative dates and locations are available.
We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

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Derivatives (Capital Markets Programme)

Detailed Course Outline

Session 1: Introduction to Derivatives

- General

Session 2: Underlying Markets

- Government Debt/Corporate Debt
- Foreign Exchange Contracts
- Money Market Contracts
- Equity Markets
- Softs and Agriculturals
- Base and Precious Metals
- Energy
- Exotics

Session 3: Exchange Traded Futures and Options

- Exchanges
- Trading Platforms
- Clearing Mechanisms

Session 4: Principles of Exchange-Traded Futures and Options

- Futures Pricing
- Options Pricing
- Order / Instruction Flow and Order Type
- Trade Registration

Session 5: Principles of OTC Derivatives

- Concepts and Characteristics
- ISDA Documentation
- Forwards and Swaps
- Credit Default Swaps
- Other Swap types
- Inflation swaps and Structured Products
- Options
- Market Transparency, Trade Reporting and Monitoring
- Market Platforms and Trade Processing
- Settlement and Processing of OTC contracts
- OTC Collateral Processes

Session 6: Principles of Clearing

- Definition and Purpose of Clearing
- Position and Price Limits
- The Principles of Margin
- Collateral/Credit

Session 7: Delivery and Settlement

- Aspects of Delivery
- Exercising Options

Session 8: Trading, Hedging and Investment Strategies

- Derivative Users
- Futures Spread Trading
- Options Strategies
- Basics of Hedging (Futures)
- Basics of Hedging (Options)
- Comparison of Exchange-Traded and OTC Hedges
- Applications of Derivative Strategies

Session 9: Regulatory Requirements

- Scope of Regulation
- Rules Based versus Principles Based Regulation
- US – Principal Differences Between EU and US Regulations
- International Accounting Standards
- Merger and Acquisition Activities

Course schedule:

Full day classroom training
09:30 – 17:00



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Derivatives (London)

Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

February 12 - 14, 2018

July 9 - 11, 2018

Course Fee (per person):

GBP £1,395 (+UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

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Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of 20%. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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Examination policy:

You will need to book their examination and register for their membership directly through the CISI.

Candidates who are attending the training are advised to purchase the workbook from CISI.