



ACCREDITED TRAINING PROVIDER

Combating Financial Crime

This robust qualification provides a global view of transnational crime. It illustrates practical defences, includes the Bribery Act (2010) and focuses on how laws, regulations and best practice continue to evolve across the entire spectrum of associated activities. It covers the background and nature of financial crime, money laundering, terrorist financing, and corruption; combating financial crime, bribery and the role of the private sector.

Course Objectives

The purpose of this three day course is to equip delegates with the necessary knowledge and exam techniques to prepare them for the exam for this qualification.

Who should attend?

The qualification is appropriate for Compliance Officers and Money Laundering Reporting Officers, those involved in regulation, law enforcement, trade and commerce and individuals from bi-lateral development institutions and civil society organisations.

Our unique 3 day classroom led training course features:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

Progressive study pathways:

- To achieve the full stand alone **Level 3 Certificate in Combating Financial Crime** Combine this qualification with UK Financial Regulations.
- Combating Financial Crime is a part of the CISI **Investment Operations Certificate** (IOC) which is achieved by passing a combination of any three technical, regulatory or introductory units (please see the final page for options).
- To achieve the **Level 6 CISI Diploma in Investment Compliance** combine this qualification with Level 4 UK Regulations & Professional Integrity and the Level 6 Regulation & Compliance.



Course Director:

Dennis Cox is a leading financial services risk

management and internal audit specialist serving banks, regulators and financial institutions globally, with a career encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis specialises his risk advisory services and lectures on the balance of risk management and the modernisation of internal audit and the auditing of compliance risk areas. He also addresses risk management as a driver for organisational change in banking, and risk-based bank internal audit. He is a specialist in risk and audit for bank departments and functions including credit, treasury, operations and accountancy issues related to the Basel Accord and the dynamics of changing regulation and business banking competitiveness

Advanced Preparation: None

Training Type: Classroom

Learning Level: Introduction

Field of Study: Financial Crime

This training course is scheduled for:

London UK, 3 days

March 27 – 29, 2017

July 24 – 26, 2017

November 06 – 08, 2017

The price per delegate for this 3-day programme is £1,395

(+ UK VAT when applicable)

A 10% discount is available for returning candidates booking training courses along the Combating Financial Crime Progressive study pathway

For an in-house training option, alternative dates and locations are available.

We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

www.riskrewardlimited.com

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Combating Financial Crime

Detailed Course Outline

Session 1: The Background and Nature of Financial Crime

- Definitions
- International context

Session 2: Predicate Offences

- Predicate and associated offences

Session 3: Money Laundering

- Background
- International Anti-money laundering standards
- Financial Action Task Force (FATF)
- The role of other bodies

Session 4: Terrorist Financing

- Background
- Measures to combat Terrorist Financing
- Standards for Countering the Financing of Terrorism
- Terrorist listing

Session 5: Corruption

- Background
- Combating Corruption
- Role of multi-lateral and bi-lateral institutions

Session 6: Bribery

- Bribery and corruption
- UK Bribery Act (2010)
- Foreign Corrupt practices act (1977)

Session 7: Combating Financial Crime

- Governmental and quasi governmental approaches to combating Financial Crime
- Asset recovery

Session 8: The role of the private sector

- Considerations for the private sector
- Practical business safeguards
- Specific responsibilities of regulated financial institutions

Course schedule:

Full day classroom training
09:30 – 17:00
AM session 09:30 – 12:30
PM session 13:30 – 17:00



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Combating Financial Crime (London)

Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

March 27 – 29, 2017

July 24 – 26, 2017

November 06 – 08, 2017

Course Fee (per person):

GBP £1,995 (+ UK VAT when applicable)

Email* _____

First name* _____

Last name* _____

Job title / Position _____

Department _____

Company Name _____

Company Address _____

City _____

Postcode _____

Country* _____

Telephone (direct)* _____

Telephone (main) _____

Approving Manager _____

Training Manager _____

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Terms and Conditions All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of £150. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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ACCREDITED TRAINING PROVIDER



RISK REWARD
GLOBAL BANKING & FINANCIAL SERVICES EXPERTS

Investment Operations Certificate (IOC)

Progressive study pathways options

For UK Candidates the IOC is achieved by passing any three of the following units*;

(* please note that the following list only contains the IOC courses that Risk Reward offers and not the complete selection, for the full list please visit www.cisi.org)

Introductory

- International Introduction to Securities and Investment

Regulatory

- UK Financial Regulation

Technical

- Risk in Financial Services
- Global Financial Compliance
- Combating Financial Crime
- Managing Cyber Security
- Operational Risk