



ACCREDITED TRAINING PROVIDER

# Combating Financial Crime

This robust qualification provides a global view of transnational crime. It illustrates practical defences, includes the Bribery Act (2010) and focuses on how laws, regulations and best practice continue to evolve across the entire spectrum of associated activities. It covers the background and nature of financial crime, money laundering, terrorist financing, and corruption; combating financial crime, bribery and the role of the private sector.

## Course Objectives

The purpose of this three day course is to equip delegates with the necessary knowledge and exam techniques to prepare them for the exam for this qualification.

## Who should attend?

The qualification is appropriate for Compliance Officers and Money Laundering Reporting Officers, those involved in regulation, law enforcement, trade and commerce and individuals from bi-lateral development institutions and civil society organisations.

## Our unique 3 day classroom led training course features:

- Content rich PowerPoint slides
- Up-to-date and relevant case studies
- Smaller class sizes which focus more on teacher/student interactions
- An expert trainer with practical industry experience

## Progressive study pathways:

- To achieve the full stand alone **Level 3 Certificate in Combating Financial Crime** Combine this qualification with UK Financial Regulations.
- Combating Financial Crime is a part of the CISI **Investment Operations Certificate** (IOC) which is achieved by passing a combination of any three technical, regulatory or introductory units (please see the final page for options).
- To achieve the **Level 6 CISI Diploma in Investment Compliance** combine this qualification with Level 4 UK Regulations & Professional Integrity and the Level 6 Regulation & Compliance.



**Course Director: Dennis Cox** is a leading financial services risk management

specialist serving banks, regulators and financial institutions globally, with a career encompassing HSBC, Prudential Portfolio Managers, Ernst & Young, BDO Binder Hamlyn and Arthur Young.

Dennis has in-depth knowledge, expertise and practical know-how of Risk management, Bank Internal Audit, Compliance & Financial Crime/Cyber Security in addition to Wealth Investment Management, Financial Markets (Bonds, Securities), Asset & Fund Management, and UK, USA & international Regulation.

He sees risk management as a driver for organizational change in banking, and provides senior level risk advice to major financial institutions globally. His published works on Risk Management, Audit and Financial Crime Deterrence are considered industry standards.

**Advanced Preparation: None**  
**Training Type: Classroom**  
**Learning Level: Introduction**  
**Field of Study: Financial Crime**

**This training course is scheduled for:**

**London UK, 3 days**  
February 5 - 7, 2018  
July 2 - 4, 2018

**The price per delegate for this 3-day programme is £1,395**  
(+ UK VAT when applicable)

1:1 training courses available at 2x per delegate price!

**For an in-house training option, alternative dates and locations are available.**  
We are happy to add extra content to the programme to meet additional requirements from your company. Please contact us for further information.

[www.riskrewardlimited.com](http://www.riskrewardlimited.com)

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**CISI@riskrewardlimited.com**

UK Companies House # 434 6234.



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# Combating Financial Crime

## Detailed Course Outline

### Session 1: The Background and Nature of Financial Crime

- Definitions
- International context

### Session 2: Predicate Offences

- Predicate and associated offences

### Session 3: Money Laundering

- Background
- International Anti-money laundering standards
- Financial Action Task Force (FATF)
- The role of other bodies

### Session 4: Terrorist Financing

- Background
- Measures to combat Terrorist Financing
- Standards for Countering the Financing of Terrorism
- Terrorist listing

### Session 5: Corruption

- Background
- Combating Corruption
- Role of multi-lateral and bi-lateral institutions

### Session 6: Bribery

- Bribery and corruption
- UK Bribery Act (2010)
- Foreign Corrupt practices act (1977)

### Session 7: Combating Financial Crime

- Governmental and quasi governmental approaches to combating Financial Crime
- Asset recovery

### Session 8: The role of the private sector

- Considerations for the private sector
- Practical business safeguards
- Specific responsibilities of regulated financial institutions

Course schedule:

Full day classroom training  
09:30 – 17:00



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## Combating Financial Crime (London)

### Registration & Payment details

Please mark **X** in the box and complete the form with BLOCK LETTERS

Dates:

February 5 - 7, 2018

July 2 - 4, 2018

#### Course Fee (per person):

GBP £1,395 (+ UK VAT when applicable)

Email\*

First name\*

Last name\*

Job title / Position

Department

Company Name

Company Address

City

Postcode

Country\*

Telephone (direct)\*

Telephone (main)

Approving Manager

Training Manager

#### Examination policy:

You will need to book their examination and register for their membership directly through the CISI.

Candidates who are attending the training are advised to purchase the workbook from CISI.

Please tick that box if you don't want to be subscribing to the Global Risk Update magazine.

**Data Privacy & Update of Contact Details** Risk Reward Limited is fully compliant with the Data Protection Act. The information you provide will be safeguarded by Risk Reward Ltd. We do not rent, sell or exchange your details to anyone without your consent. Your details are never given to third parties. If you wish to update your details, please email: [info@riskrewardlimited.com](mailto:info@riskrewardlimited.com) with your OLD and NEW details. Please allow 10 days to see the changes take effect. Thank you.

**Terms and Conditions** All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Risk Reward. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of 20%. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. Risk Reward reserves the right to the final decision if any dispute arises.

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Signature

Date

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## **Investment Operations Certificate (IOC)**

### **Progressive study pathways options**

**For UK Candidates the IOC is achieved by passing any three of the following units\*;**

**(\* please note that the following list only contains the IOC courses that Risk Reward offers and not the complete selection, for the full list please visit [www.cisi.org](http://www.cisi.org))**

#### **Introductory**

- **International Introduction to Securities and Investment**

#### **Regulatory**

- **UK Financial Regulation**

#### **Technical**

- **Risk in Financial Services**
- **Global Financial Compliance**
- **Combating Financial Crime**
- **Managing Cyber Security**
- **Operational Risk**